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Date: November 7, 2003

To: Examiner: David L. Hogans  
Art Unit: 2813

Fax No.: 703-<sup>572</sup>308-9318

From: Stephen P. Burr

Subject: U.S. Application Ser. No. 10/004,345  
Filed: November 2, 2001  
Conf. No.: 3689  
Title: A METHOD FOR FABRICATING A III-V NITRIDE FILM AND AN  
APPARATUS FOR FABRICATING THE SAME

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Our Ref.: 782\_193

You should receive 7 page(s) including this cover sheet. If you do not receive all pages, please call (315) 233-8300.

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following paper(s) is/are being facsimile transmitted to 703-308-9318 at the Patent and Trademark Office on November 7, 2003:

- |  |                |
|--|----------------|
| • Amendment Transmittal (in duplicate) | <u>2</u> pages |
| • Amendment (pages 1-4)                | <u>4</u> pages |
| • This Cover Sheet                     | <u>1</u> page  |

Total Pages

7

  
Janet M. Stevens

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**PATENT AMENDMENT TRANSMITTAL**

(Provisions of 37 CFR 1.136 Apply)

Application Number	10/004,345	Filing Date	November 2, 2001
Group Art Unit	2813	Examiner Name	David L. Hogans
Confirmation No.	3689	Attorney Docket No.	782_193
Inventor(s)	Tomohiko SHIBATA, Yukinori NAKAMURA, Mitsuhiro TANAKA and Keiichiro ASAI		
Invention:	A METHOD FOR FABRICATING A III-V NITRIDE FILM AND AN APPARATUS FOR FABRICATING THE SAME		

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as follows:

**CLAIMS AS AMENDED**

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid	(5) No. of Extra Claims Present	(6) Rate (Large Entity)	(7) Additional Fee
TOTAL CLAIMS	3	MINUS	20	0	\$18.00	\$00.00
INDEP. CLAIMS	1	MINUS	3	0	\$86.00	\$00.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>						<b>\$00.00</b>

**EXTENSION OF TERM**

- ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.
- ☐ This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:
- |   |          |  |
|---|----------|--|
| <input type="checkbox"/> One Month (37 CFR 1.17(a)(1) .....   | \$110.00 |  |
| <input type="checkbox"/> Two Month (37 CFR 1.17(a)(2) .....   | \$420.00 |  |
| <input type="checkbox"/> Three Month (37 CFR 1.17(a)(3) ..... | \$950.00 |  |

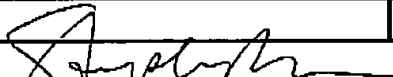
**TOTAL FEES DUE**

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:

**FEE PAYMENT**

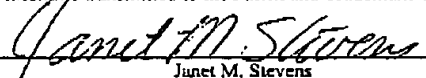
- ☒ No additional fee is required.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Charge Deposit Account 50-1446 in the amount of \$. Enclosed is a duplicate copy of this sheet.
- ☒ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.

**Submitted By:**

Name (Print Type)	Stephen P. Burr	Reg. No.	32,970	Customer No.	025191
		Telephone	(315) 233-8300	Facsimile	(315) 233-8320
Signature				Date	November 7, 2003

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Janet M. Stevens

Practitioner's Docket No.: 782\_193

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Tomohiko SHIBATA, Yukinori NAKAMURA, Mitsuhiro TANAKA and Keiichiro ASAI

Ser. No.: 10/004,345

Group Art Unit: 2813

Filed: November 2, 2001

Examiner: David L. Hogans

Confirmation No.: 3689

For: A METHOD FOR FABRICATING A III-V NITRIDE FILM AND AN APPARATUS FOR FABRICATING THE SAME

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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*Janet M. Stevens*  
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**AMENDMENT**

Sir:

In response to the Office Action mailed August 7, 2003, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 3 of this paper.